



Dina A. Tantra, Esq.

Executive Vice President,
Director of Fund Administration and Compliance
Ultimus Fund Solutions, LLC

Above all else, **Dina Tantra** is known for her ability to synthesize complex issues, identify new solutions to age-old problems and develop win-win situations. In the boardroom, amongst her fellow trustees, she established a track record for setting strategic direction. In client-settings, she forges trusted partnerships and serves as an extension of each client, which naturally leads to growth and success for all involved. In a team environment, she empowers, engages and inspires those around her to unify towards a powerfully collaborative outcome. She is applauded for her ability to “think differently,” to connect deeply, and to make an impact. She puts herself in others’ shoes in order to listen effectively, identify their goals, influence change and ultimately help them reach the finish line. To meet her is to know her.

In 2012 she was selected to solve the question: “How do we help international investment advisers successfully navigate the regulatory quagmire of the US markets?” Serving as CEO and Board Member of Advisers Investment Trust, Ms. Tantra effected the remarkable transformation of \$100,000 seed capital into \$10B in assets under management in only 6 years by selecting international advisers who focus on institutional clientele and rely upon Ms. Tantra and her team to oversee their US products.

In 2008, Ms. Tantra was chosen to start-up Beacon Hill Fund Services. While her expertise is in surfacing untapped potential, her background is steeped in legal, governance and risk management, as she served as both General Counsel and Business Head. As Beacon Hill reached profitability, she facilitated its sale from Diamond Hill (ticker DHIL) to Foreside Financial in order to better align corporate goals and capital investment opportunities. Over the course of the acquisition, Ms. Tantra was critical to the 100% retention of the top revenue-generating clients across the combined companies as well as the expansion of Foreside’s largest satellite office. Today she serves as Executive Vice President of Ultimus Fund Solutions, helping to set and implement the corporate strategic direction.

Prior to joining Beacon Hill, Ms. Tantra spent 11 years at Nationwide, a Fortune 100 company, where she partnered with the Chief Legal Officer in overseeing the 1200-person department, built a



compliance program similar to Sarbanes-Oxley and served as Chief Compliance Officer for a broker-dealer with over 1500 representatives.

The scope of her experience also includes American Century Investments, BISYS Fund Services, Inc. (now Citi Fund Services) and extensive interactions with a multitude of mutual fund families' board of directors, including providing guidance on strategy, regulations, audit, valuation, governance and succession planning.

Ms. Tantra's sphere of influence crosses professional and personal bounds. Ms. Tantra was recognized by the U.S. Congress as a high impact leader for driving social change for women and girls and was honored by Women for Economic and Leadership Development (WELD) for her positive impact in the community. Deloitte named her in its "Wise Women 10th Anniversary Celebration." In 2011, she won the inaugural Top Corporate Counsel – Solo Practice award from Columbus Business First.

Ms. Tantra received a B.A. from Pomona College, her law degree from The Ohio State University, and completed an Executive Finance course at The University of Chicago Booth School of Business. She is a member of the Ohio, Kansas and Missouri Bars, holds various securities licenses, and sits on the Advisory Board of Xavier University Cintas Institute for Business Ethics.

She participates on a committee tasked with drafting licensing exam questions for individuals to sell complex securities. To balance her for-profit board service, she also serves on the Board of The Women's Fund of Central Ohio, a multi-million dollar organization advocating social change, gender equality and economic self-sufficiency. In addition to her Board service, she has been an active member of leadership committees (Executive, Investment, Audit, Nominating/Governance).

